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Docket: 3606-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GILLIN, KORFMANN and RADEN

Group Art Unit: 2761

Serial No.: 09/188,810

Examiner: To Be Assigned

Filed: November 9, 1998

For: **TRANSFER INSTRUMENT**

**CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

Assistant Commissioner For Patents  
**BOX MISSING PARTS**  
Washington, DC 20231

Sir:

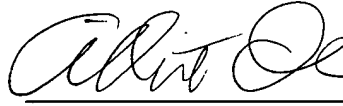
I hereby certify that the attached:

- 1). Executed Combined Declaration and Power of Attorney
- 2). Executed Associate Power of Attorney
- 3). Response to Notice to File Missing Parts of Application (in duplicate)  
Filing Date Granted
- 4). Notice to File Missing Parts - Part 2 (PTO-1553)
- 5). Check in the amount of \$65.00
- 6). Return Receipt Post Card, and
- 7). This certificate of mailing

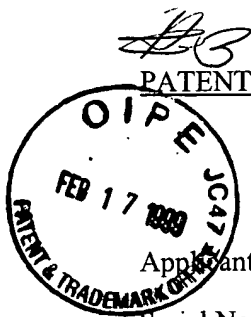
(along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: February 11, 1999

By:   
Albert Isles

Mailing Address:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154-0053  
(212) 758-4800  
(212) 751-6849 (Fax)



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Assistant Commissioner for Patents  
**BOX Missing Parts**  
Washington, D.C. 20231

SIR:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION GRANTED**

In response to the Notice to File Missing Parts of Application (PTO-1533) issued in the above referenced case, enclosed is an executed Declaration and Power of Attorney and Associate Power of Attorney relating to the identified application. Applicants also enclose a check in the amount of sixty-five dollars (\$65.00) to cover the Small Entity surcharge for filing the Declaration on a date later than the filing date of the application, pursuant to 37 C.F.R. §1.16(e). Applicants' claim for small entity status was filed on January 6, 1999.

The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing these papers, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3606-4000. **A DUPLICATE COPY OF THIS SHEET IS ATTACHED.**

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: February 11, 1999

By: 

Richard Straussman  
Registration No. 39,847

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

|                    |                     |                       |                           |
|--------------------|---------------------|-----------------------|---------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.
- Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.
- \$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
- \$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.
- \$ \_\_\_\_\_ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☐ 3. The oath or declaration:
- ☐ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202